



JC05 PCT/PTO 22 MAR 2002 #4

Patent
Attorney Docket: 270/299

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: DURDEN, Donald L

Serial No.: 09/937,982

Filed: October 2, 2001

**For: DISEASE AND GRAFT VERSUS
HOST DISEASE**

)
) **Group Art Unit: not yet assigned**

)
) **Examiner: not yet assigned**

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**, which was mailed by the Patent Office on December 12, 2001, enclosed are:

- Declaration and Power of Attorney
- A copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed December 12, 2001
- Submission of Sequence Listing and sequence listing in paper and computer readable forms
- Transmittal of Formal Drawings and formal drawings (9 sheets)

LA-227103.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

March 12, 2002
Date of Deposit

Miyabi Grace
Name of Person Mailing Paper

Miyabi Grace
Signature of Person Mailing Paper



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

2701299

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/937,982	Donals L Durden	239/253W0

INTERNATIONAL APPLICATION NO.

PCT/US00/07981

I.A. FILING DATE	PRIORITY DATE
03/24/2000	04/02/1999

Michael J Wise
Lyon & Lyon
633 West Fifth Street
Suite 4700
Los Angeles, CA 90071

CONFIRMATION NO. 1413

371 FORMALITIES LETTER



OC000000007175186

Date Mailed: 12/12/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Small Entity Statement

MISSING parts 2/12/02
DNA Seq. Reqmt.

RECEIVED

DEC 18 2001

U.S. PROSECUTION

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - A statement that the contents of the paper or compact disc and the computer readable form

are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,982	PCT/US00/07981	239/253W0

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☒ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input checked="" type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00
Fee		\$110.00

- ☒ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

- ☐ Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:				\$0.00
Total Claims	-	20	= 0 x \$18.00	\$0.00
Independent Claims	-	3	= 0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)	<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)	<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS				\$130.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.				\$0.00
Extension of Time (from above)				\$110.00
Assignment -- \$40 (if applicable)				\$0.00
TOTAL FEES SUBMITTED HERewith				\$240.00

IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$240.00 is enclosed to cover the above fee(s).
☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of _____

03/27/2002 MAL111 00000077 09937982
 01 FC:115 110.00 OP
 02 FC:154 130.00 OP

Adjustment date: 06/17/2002 BCAMPBEL
 03/27/2002 MAL111 00000077 09937982
 01 FC:115 -110.00 OP
 02 FC:154 -130.00 OP

☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,
LYON & LYON LLP

Dated: March 12, 2002

By: 

Michael J. Wise
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
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Los Angeles, CA 90071
Tel: (213) 489-1600
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Repln. Ref: 06/17/2002 BCAMPBEL 0012203000

DAH:122475 Name/Number:09937982

FC: 704

\$120.00 CR

Rec'd PCT/PTO 22 MAR 2002

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DURDEN, Donald L

Serial No.: 09/937,982

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For: DISEASE AND GRAFT VERSUS
HOST DISEASE

Group Art Unit: not yet assigned

Examiner: not yet assigned

TRANSMITTAL OF FORMAL DRAWINGS

Attention: Official Draftsperson
Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-referenced application are the formal drawings
(9 sheets).

Respectfully submitted,
LYON & LYON LLP

Dated: 3/12/02

By:

Michael J. Wise
Reg. No. 34,047

22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
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Tel: (213) 489-1600
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MARCH 12, 2002
Date of DepositMIYABI GRACE
Name of Person Mailing PaperMiyabi Grace
Signature of Person Mailing Paper